

# A recursive alignment algorithm – pst-tvz

Trees; v.1.01

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# Part I. Using the package

The node and node connections are perfect tools for making trees, but positioning the nodes using \rput would be rather tedious, unless you have a computer program that generates the coordinates.

The files pst-tvz.tex/pst-tvz.sty contains a high-level interface for making trees.

It should be noted that the correct result is not guaranteed with every dvips driver. This package was written for Rokicki's dvips programme, which is practically part of every  $T_EX$  distribution.

thanks to: Olivier Guibé;

## 1. Overview

The tree commands are

\pstree{<root>}{<successors>}

T <sub>E</sub> X version	I₄T <sub>E</sub> X version
<pre>\psTree{<root>}</root></pre>	\begin{psTree}{ <i>root</i> }
<successors>\\</successors>	<successors> \\</successors>
<successors>\\</successors>	<successors> \\</successors>
\endpsTree	\end{psTree}

These do the same thing, but just have different syntax. \psTree is the "long" version. These macros make a box that encloses all the nodes, and whose baseline passes through the center of the root. Most of the nodes has a variant for use within a tree and are called tree nodes (see Section 2).

Trees and tree nodes are called *tree objects*. The *root* of a tree should be a single tree object, and the *successors* should be one or more tree objects. Here is an example with only nodes:



\pstree[radius=3pt]{\Toval{root}}{\TC\*
 \TC\* \TC\* \TC\*}

There is no difference between a terminal node and a root node, other than their position in the \pstree{} command.

Here is an example where a tree is included in the list of successors, and hence becomes subtree:



#### 2. Tree Nodes

In each case, the name of the tree node is formed by omitting "'node"' from the end of the name and adding "T" at the beginning. For example, \psovalnode becomes \Toval. Here is the list of such tree nodes:

\Tp *	[Options]
\Tc *	[Options] {dim}
\TC *	[Options]
\Tf *	[Options]
\Tdot	<pre>* [Options]</pre>
\Tr *	<pre>[Options] {stuff}</pre>
\TR *	<pre>[Options] {stuff}</pre>
\Tcir	<pre>cle * [Options] {stuff]</pre>
\TCir	<pre>cle * [Options] {stuff]</pre>
\Tova	l * [Options] { <i>stuff</i> }
\Tdia	<pre>* [Options] {stuff}</pre>
\Ttri	<pre>* [Options] {stuff}</pre>

The syntax of a tree node is the same as of its corresponding "normal" node, except that:

- There is always an optional argument for setting graphics parameters, even if the original node did not have one;
- There is no argument for specifying the name of the node;
- There is never a coordinate argument for positioning the node; and
- To set the reference point with \Tr, set the ref parameter.

Figure 1 gives a reminder of what the nodes look like.

The difference between Tr and TR (variants of rnode and Rnode, respectively) is important with trees. Usually, you want to use TR with vertical trees because the baselines of the text in the nodes line up horizontally. For example:



```
$ \pstree[nodesepB=3pt]{\Tcircle{X}}{%
    \TR{\tilde{\tilde{X}}}
    \TR{x}
    \TR{y} $
```

Compare with this example, which uses \Tr:



\$ \pstree[nodesepB=3pt]{\Tcircle{X}}{%
 \Tr{\tilde{\tilde{X}}}
 \Tr{\x}
 \Tr{y}} \$

<u>There</u> is also a null tree node: \Tn

It is meant to be just a place holder. Look at the tree in Figure page 6. The bottom row has a node missing in the middle.  $Tn{}$  was used for this missing node.

There is also a special tree node that doesn't have a "normal" version and that can't be used as the root node of a whole tree:



Figure 1: The tree nodes.

\Tfan \* [Options]

This draws a triangle whose base is fansize and whose opposite corner is the predecessor node, adjusted by the value of nodesepA and offsetA. For example:



## 3. Tree orientation

Trees can grow down, up, right or left, depending on the treemode= D, U, R, or L parameter.

Here is what the previous example looks like when it grows to the right:



You can change the treemode in the middle of the tree. For example, here is a tree that grows up, and that has a subtree which grows to the left:



Since you can change a tree's orientation, it can make sense to include a tree (<treeB>) as a root node (of <treeA>). This makes a single logical tree, whose root is the root of <treeB>, and that has successors going off in different directions, depending on whether they appear as a successor to <treeA> or to <treeB>.



On a semi-related theme, note that any node that creates an LR-box can contain a tree. However, nested trees of this kind are not related in any way to the rest of the tree. Here is an example:



When the tree grows up or down, the successors are lined up from left to right in the order they appear in \pstree. When the tree grows to the left or right, the successors are lined up from top to bottom. As an afterthought, you might want to flip the order of the nodes. The keyword treeflip=true/falselet's you do this. For example:



Note that I still have to go back and change the treemode of the subtree that used to grow to the left.

## 4. The distance between successors

The distance between successors is set by the key treesep. The rest of this section describes ways to fine-tune the spacing between successors. You can change the method for calculating the distance between subtrees by setting the treefit=tight/loose parameter. Here are the two methods:

loose When treefit=loose , treesep is the distance between the subtrees' bounding boxes. Except when you have large intermediate nodes, the effect is that the horizontal distance (or vertical distance, for horizontal trees) between all the terminal nodes is the same (even when they are on different levels).<sup>1</sup>

Compare:

<sup>1</sup> When all the terminal nodes are on the same level, and the intermediate nodes are not wider than the base of their corresponding subtrees, then there is no difference between the two methods.



With treefit=loose, trees take up more space, but sometimes the structure of the tree is emphasized.

Sometimes you want the spacing between the centers of the nodes to be regular even though the nodes have different sizes. If you set treenodesize to a non-negative value, then PSTricks sets the width (or height+depth for vertical trees) to treenodesize, for the purpose of calculating the distance between successors.

For example, ternary trees look nice when they are symmetric, as in the following example:



```
\pstree[nodesepB=-8pt,treenodesize=.85]{\Tc{3pt
}}{%
    \TR{$x=y$}
    \TR{$x_1=y_1$}
    \TR{$x_1=y_1$}
    \TR{$x_{11}=y_{11}$}}%$
```

Compare with this example, where the spacing varies with the size of the nodes:



```
\pstree[nodesepB=-8pt]{\Tc{3pt}}{%
    \TR{$x=y$}
    \TR{$x_1=y_1$}
    \TR{$x_{11}=y_{11}$}}%$
```

Finally, if all else fails, you can adjust the distance between two successors by inserting \tspace{length} between them:





## 5. Spacing between the root and successors

The distance between the center lines of the tree levels is levelsep. If you want the spacing between levels to vary with the size of the levels, use the \* convention. Then levelsep is the distance between the bottom of one level and the top of the next level (or between the sides of the two levels, for horizontal trees).

Note: PSTricks has to write some information to your .aux file if using  $IAT_EX$ , or to \jobname.pst otherwise, in order to calculate the spacing. You have to run your input file a few times before PSTricks gets the spacing right.

trees. Compare the following example:



with this one, were the spacing between levels is fixed:



## 6. Edges

Right after you use a tree node command, \pssucc is equal to the name of the node, and \pspred is equal to the name of the node's predecessor. Therefore, you can draw a line between the node and its predecessor by inserting, for example,

\ncline{\pspred}{\pssucc}

To save you the trouble of doing this for every node, each tree node executes

\psedge{\pspred}{\pssucc}

The default definition of \psedge is \ncline, but you can redefine it as you please with def or  $Iat_EX's$  \renewcommand.

For example, here I use  $\ncdiag$ , with armA=0, to get all the node connections to emanate from the same point in the predecessor. LAT<sub>E</sub>X users can instead type:

\renewcommand{\psedge}{\ncdiag[armA=0,angleB=180,armB=1cm]}



Here is an example with \ncdiagg. Note the use of a negative armA value so that the corners of the edges are vertically aligned, even though the nodes have different sizes:



Another way to define \psedge{} is with the edge parameter. Be sure to enclose the value in braces "" if it contains commas or other parameter delimiters. This gets messy if your command is long, and you can't use arguments like in the preceding example, but for simple changes it is useful. For example, if I want to switch between a few node connections frequently, I might define a command for each node connection, and then use the edge parameter.



You can also set edge=none to suppress the node connection.

If you want to draw a node connection between two nodes that are not direct predecessor and successor, you have to give the nodes a name that you can refer to, using the name parameter. For example, here I connect two nodes on the same level:

3



We conclude with the more examples.



\pstree[nodesep=3pt,radius=2pt]{\Toval{nature
}}{%
 \pstree{\Tc[name=top]{3pt}}{\TC\* \TC\*}
 \pstree{\Tc[name=bot]{3pt}}{\TC\* \TC\*}}
\ncline[linestyle=dashed]{top}{bot}

\def\psedge{\nccurve[angleB=180, nodesepB=3pt]}
\pstree[treemode=R, treesep=1.5, levelsep=3.5]%
{\Toval{root}}{\Tr{X} \Tr{Y} \Tr{Z}}

\pstree[nodesepB=3pt, arrows=->, xbbl=15pt, xbbr=15pt, levelsep=2.5cm]{\Tdia{root}}{% \$ \TR[edge={\ncbar[angle=180]}]{x} \TR[y} \TR[edge=\ncbar]{z} \$}

1 \psset{armB=1cm, levelsep=3cm, treesep=1cm, 2 angleB=-90, angleA=90, arrows=<-, nodesepA=3 pt} 3 \def\psedge#1#2{\ncangle{#2}{#1}} 4 \pstree[radius=2pt]{\Ttri{root}}{\TC\* \TC\* \TC\*

\**TC**\*}

# 7. Edge and node labels

Right after a node, an edge has typically been drawn, and you can attach labels using \ncput, \tlput, etc. With \tlput, \trput, \taput, and \tbput, you can align the labels vertically or horizontally, just like the nodes. This can look nice, at least if the slopes of the node connections are not too different.



Within trees, the tpos parameter measures this distance from the predecessor to the successor, whatever the orientation of the true. (Outside of trees it measures the distance from the top to bottom or left to right nodes.)

PSTricks also sets shortput=tab within trees. This is a special shortput option that should not be used outside of trees. It implements the following abbreviations, which depend of the orientation of the true:

```
Short for:

Char. Vert. Horiz.

^ \tlput \taput

_ \trput \tbput
```

(The scheme is reversed if treeflip=true .)



You can change the character abbreviations with

```
\MakeShortTab{<char1>}{<char2>}
```

The \n\*put commands can also give good results:



You can put labels on the nodes using \nput. However, \pstree won't take these labels into account when calculating the bounding boxes.

There is a special node label option for trees that does keep track of the bounding boxes:

~\* [Options] {stuff}

Call this a "tree node label".

Put a tree node label right after the node to which it applies, before any node connection labels (but node connection labels, including the short forms, can follow a tree node label). The label is positioned directly below the node in vertical trees, and similarly in other trees. For example:



```
\pstree[radius=2pt]{\Tc{3pt}\nput{45}{\pssucc}{root}}{%
    \TC*~{$h$} \TC*~{$i$} \TC*~{$j$} \TC*~{$k$}}
```

Note that there is no "long form" for this tree node label. However, you can change the single character used to delimit the label with

```
\MakeShortTnput{<char1>}
```

If you find it confusing to use a single character, you can also use a command sequence. E.g.,

\MakeShortTnput{\tnput}

You can have multiple labels, but each successive label is positioned relative to the bounding box that includes the previous labels. Thus, the order in which the labels are placed makes a difference, and not all combinations will produce satisfactory results.

You will probably find that the tree node label works well for terminal nodes, without your intervention. However, you can control the tree node labels be setting several parameters.

To position the label on any side of the node ("l"eft, "r"ight, "a"bove or "b"elow), set: tnpos=l/r/a/b





When you leave the argument empty, which is the default, PSTricks chooses the label position is automatically.

To change the distance between the node and the label, set tnsep to a dimension When you leave the argument empty, which is the default, PSTricks uses the value of labelsep. When the value is negative, the distance is measured from the center of the node.

When labels are positioned below a node, the label is given a minimum height of theight. Thus, if you add labels to several nodes that are horizontally aligned, and if either these nodes have the same depth or thsep is negative, and if the height of each of the labels is no more than theight, then the labels will also be aligned by their baselines. The default is  $ht\strutbox$ , which in most  $T_EX$  formats is the height of a typical line of text in the current font. Note that the value of theight is not evaluated until it is used.

The positioning is similar for labels that go below a node. The label is given a minimum *depth* of tndepth. For labels positioned above or below, the horizontal reference point of the label, i.e., the point in the label directly above or below the center of the node, is set by the href parameter.

When labels are positioned on the left or right, the right or left edge of the label is positioned distance tnsep from the node. The vertical point that is aligned with the center of the node is set by tnyref. When you leave this empty, vref is used instead. Recall that vref gives the vertical *distance* from the baseline. Otherwise, the tnyref parameter works like the yref parameter, giving the fraction of the distance from the bottom to the top of the label.

## 8. Details

PSTricks does a pretty good job of positioning the nodes and creating a box whose size is close to the true bounding box of the tree. However, PSTricks does not take into account the node connections or labels when calculating the bounding boxes, except the tree node labels.

If, for this or other reasons, you want to fine tune the bounding box of the nodes, you can set the following parameters to a dimension:

name	default
bbl	0pt
bbr	0pt
bbh	0pt
bbd	0pt
xbbl	0pt
xbbr	0pt
xbbh	0pt
xbbd	0pt
The "'x	"' versior

The "'x"' versions increase the bounding box by <dim>, and the others set the bounding box to the dimension. There is one parameter for each direction from the center of the node, left, right, height, and depth.

These parameters affect trees and nodes, and subtrees that switch directions, but not subtrees that go in the same direction as their parent tree (such subtrees have a profile rather than a bounding box, and should be adjusted by changing the bounding boxes of the constituent nodes).

Save any fiddling with the bounding box until you are otherwise finished with the tree.

You can see the bounding boxes by setting the showbbox=true/falseparameter to true. To see the bounding boxes of all the nodes in a tree, you have to set this parameter before the tree.

In the following example, the labels stick out of the bounding box:



Here is how we fix it:



1 \psset{tpos=.6,showbbox=true}
2 \pstree[treemode=U]{\Tc{5pt}}{%
3 \TR{foo}^{left}
4 \TR{bar}\_{right}}

\psset{tpos=.6, showbbox=true}

```
2 \pstree[treemode=U,xbbl=8pt,xbbr=14pt]{\Tc{5pt
    }}{%
3 \TR{foo}^{left}
4 \TR{bar}_{right}}
```

Now we can frame the tree:



1	<pre>\psframebox[fillstyle=solid,fillcolor=lightgray,</pre>
	framesep=14pt,
2	linearc=14pt,cornersize=absolute,linewidth=1.5
	pt]{%
3	<pre>\psset{tpos=.6,border=1pt,nodesepB=3pt}</pre>
4	<pre>\pstree[treemode=U,xbbl=8pt,xbbr=14pt]{%</pre>
5	<pre>\Tc[fillcolor=white,fillstyle=solid]{5pt}}{%</pre>
6	\TR*{foo}^{left}
7	\TR*{bar}_{right}}}

We would have gotten the same result by changing the bounding box of the two terminal nodes.

## 9. The scope of parameter changes

edge is the only parameter which, when set in a tree node's parameter argument, affects the drawing of the node connection (e.g., if you want to change the nodesep, your edge has to include the parameter change, or you have to set it before the node).

As noted at the beginning of this section, parameter changes made with \pstree affect all subtrees. However, there are variants of some of these parameters for making local changes, i.e, changes that affects only the current level: thistreesep, thistreenodesize, thistreefit=tight/loose, and thislevelsep.

For example:



There are some things you may want set uniformly across a level in the tree, such as the levelsep. At level <n>, the command \pstreehook<roman(n)> (e.g., \pstreehookii) is executed, if it is defined (the root node of the whole tree is level 0, the successor tree objects and the node connections from the root node to these successors is level 1, etc.). In the following example, the levelsep is changed for level 2, without having to set the thislevelsep parameter for each of the three subtrees that make of level 2:



# Part II. Theory

This is a description of a recursive alignment algorithm that is useful for drawing trees and tree-like graphs. It is a generalization of the algorithm in [4]. The purpose of the algorithm is to recursively construct a description of a *tree* in a high-level graphics language with the capabilities of PostScript. Thus, the algorithm is a preprocessor, and the graphics interpreter is a post-processor. This division makes the algorithm simpler and more modular. The postprocessing could be implemented internally, if a low-level graphics description is required.

Thanks to: Ed Reingold

## **10. Introduction**

A tree is a collection of nodes, organized into levels, with each node's center assigned a coordinate position. The center of a node is where edges should point to. Trees have ragged left and right profiles, because the widths of the levels vary. In *horizontal mode*, the algorithm joins trees side by side, aligned by their top levels and fitted together tightly. In *vertical mode*, the algorithm stacks trees so that the nodes at the bottom level of the each tree are centered above the nodes at the top level of the next tree.

The algorithm is implemented in pst-tvz, which is part of the PSTricks package. PSTricks is a collection of PostScript extensions to  $T_EX$ . The examples in this paper use the PSTricks implementation. The syntax of the input file is:

```
\psTree
    ~tree objects~ \\
    ~tree objects~ \\
    ...
    ~tree objects~
\endpsTree
```

Each row except for the last ends in  $\$ . Each row is processed in horizontal mode, and then the rows are stacked in vertical mode. See Example 1.



Listing 1: Example 1

## 11. The graphics description

The graphics language should have whatever features one needs to draw the nodes, edges and labels, plus the ability to define procedures and variables for later reference. Furthermore, the graphics state should keep track of a current point, which can be manipulated as follows:

- 1. Operators gsave and grestore, respectively, push the current point onto a stack and pop the top current point from that stack.
- 2. The operator  $x \ y$  RMOVETO shifts the current point x units to the right and y units down.

Note the convention that the *y*-direction is *down*.

The tree graphics description should place (the center of) the top-left node at the current point, and should not change the current point.

The graphics description consists of these operators plus nodes, node labels, edges and edge labels. Here is what these objects do:

- *Node* Draws the node, without changing the current point, and defines a procedure, identified by the node's name, that can answer queries about where to draw edges. For example, in PSTricks the nodes can report the coordinate of the center of the node, and the coordinate of the boundary of the node in any direction from the center.
- *Node label* Draws a label at a node, by querying the node to find out where to position the label.
- *Edge* Draws a line between two nodes, querying the nodes to find out where to connect the lines, and then defines a procedure for finding the coordinate and slope at any point on the line.
- *Edge label* Puts a label on an edge, using the procedure for finding the coordinate and slope of a point on the last edge that was drawn.



#### Listing 2: Example 2

Suppose we want to draw the graph in Example 2. We start by constructing the code for the subgraph containing nodes A, B and C. The first row (nodes A and B) is:

```
gsave
    ~Node A~
    100 0 rmoveto
    ~Node B~
grestore
```

and the second row is:

```
gsave
    ~Node C~
    ~Line from Node A to Node C~
    ~Line from Node B to Node C~
grestore
```

Then we calculate that the top-left node (node C) of the second row is positioned at (50, 100) from the top-left node (node A) of the top row. The subgraph is thus:

gsave gsave

```
-Node A-

100 0 rmoveto

-Node B-

grestore

50 100 rmoveto

gsave

-Node C-

-Edge from Node A to Node C-

grestore

grestore

grestore
```

Similary, the subgraph for nodes D, E and F is:

```
gsave
gsave
~Node D~
grestore
-50 100 rmoveto
gsave
~Node E~
~Edge from Node A to Node C~
~Edge label~
~Node F~
~Edge from Node B to Node C~
~Edge label~
grestore
grestore
```

To join these two subgraphs, we calculate that the distance from the top-left node of  $\{A, B, C\}$  to the top-left node of  $\{D, E, F\}$  is (200, 0). Thus, the subgraph  $\{A, B, C, D, E, F\}$  is

```
gsave
    ~Subgraph A,B,C~
    200 0 rmoveto
    ~Subgraph D,E,F~
grestore
```

The code for the the bottom row (node G) is:

```
gsave
   ~Node G~
   ~Edge from Node C to Node G~
   ~Edge from Node E to Node G~
   ~Edge from Node F to Node G~
grestore
```

This node is positioned distance (150, 200) from the top-left node of subgraph  $\{A, B, C, D, E, F\}$ , and so the code for the whole graph is

```
gsave
gsave
~Subgraph A,B,C~
rmoveto(200,0)
~Subgraph D,E,F~
grestore
```

```
150 200 rmoveto

gsave

~Node G~

~Edge from Node C to Node G~

~Edge from Node E to Node G~

~Edge from Node F to Node G~

grestore

grestore
```

## 12. Language requirements

I assume that the preprocessing language has operators BEGINGROUP and ENDGROUP that keep changes to variables local to the group, and GLOBAL which make the next change global.

There must be enough memory to hold the entire description of the tree in memory, because the algorithm constructs the description recursively rather than linearly.

I use the following data types:

integer	INT
boolean	BOOL
string	STRING
dimension	DIM
list of strings	LOS
list of dimensions	LOD

Dimensions might be integers or reals, depending on the implementation. The algorithm only uses integer arithmetic.

#### 13. Accounting

As seen in Section 11, joining subtrees is mainly a problem of finding the distance between them. If we simply joined them by inserting a fixed amount of space between their bounding boxes (the way  $T_EX$  builds boxes from boxes) then we would only need to know each subtree's bounding box. Instead, for horizontal mode we need to keep track of the different sizes of the levels (the profiles). For alignment in vertical mode, we also need to know the positions of the extreme nodes in the top and bottom levels. For automatic drawing of edges, we need to keep track of the names of the nodes at the bottom level (which the top nodes of the next level draw edges to). We keep track of a few more items that are used by some of the special features described in Section 16.

Here is the list of the tree data. (The distance between nodes refers to the distance between the centers of the nodes.) There is some redundancy, because it can be faster to keep track of information in the form it is needed rather than extracting it from other information.

treecode The graphics description of the tree. (DIM)

width The distance from the top-left node to the top-right node. (DIM)

*leftprofile* The horizontal distance from the left edge of the bounding box of each level to the top-left node. (LOD)

*rightprofile* The horizontal distance from the top-right node to the right edge of the bounding box of each level. (LOD)

*leftbase* The horizontal distance from the bottom-left node to the top-left node. (DIM)

- *rightbase* The horizontal distance from the top-right node to the bottom-right node. (DIM)
- *center* The distance from the top-left node to the center of the top level (for alignment in vertical mode), or NULL if the center should be the midpoint between the top-left and the top-right nodes. (DIM)
- *centerbase* The distance from the top-left node to the center of the bottom level (for alignment in vertical mode), or NULL if the center should be the midpoint between the bottom-left and bottom-right nodes. (DIM)

*height* The vertical distance from the top of the bounding box to the top level. (DIM)

- depth The vertical distance from the top level to the bottom of the bounding box. (DIM)
- *leftsize* The horizontal distance from the left side of the bounding box to the top-left node. (DIM)
- *rightsize* The horizontal distance from the top-right node to the right side of the bounding box. (DIM)

rootnodes A list of the names of the top-level nodes. (LOS)

basenodes A list of the names of the bottom-level nodes. (LOS)

*cumlevelsep* The distance between the first and last levels. (DIM)

*numlevels* The number of levels in the tree. (INT)

*levelsizes* The list of the height and depth of the bounding box of each level, plus, for every level except the last, the vertical distance to the next level.

See Figure 2.

## 14. Horizontal mode

In horizontal mode, the trees are aligned by their toplevels (i.e., a tree's baseline is the center of its top level). We add trees to the row one-by-one, updating the description of the row each time.

A row, while under construction, is itself a tree, and each time we add a tree we update the data for the row. As we construct the graphics description for the row, the current point is left at the top-left node of the last tree. We keep track of the width of the last tree (Lastwidth). Each time we add a tree to the row, we face the canonical problem of determining how much space to leave between the top-right node of the row and the top-left node of the next tree.

To distinguish the tree data variables of the row from those of the next tree to be added to the row, we begin the variable names for the row with capital letters. E.g., Leftprofile is the leftprofile of the row, and leftprofile is the leftprofile of the next tree.

When adding the first tree object, we have to simply initialize the row's variables:

```
Treecode = treecode
Width = width
Lastwidth = width
Leftprofile = leftprofile
```



Figure 2: Tree data

```
Rightprofile = rightprofile
Leftbase = leftbase
Rightbase = rightbase
Center
         = center
Centerbase = centerbase
Height = height
Depth
         = depth
Leftsize = leftsize
Rightsize = rightsize
Rootnodes = rootnodes
Basenodes = basenodes
Cumlevelsep = cumlevelsep
Numlevels = numlevels
Levelsizes = levelsizes
```

For subsequent tree object's, we first find the distance between the top-right node of the current row and the top-left node of the next object, and we assign the result to sep. We want the minimum distance between the objects, level-by-level, to be treesep (a parameter):

```
sep = MAX { Rightprofile ++ leftprofile } + treesep
```

where ++ makes a list by adding two lists item-by-item, up to the length of the shortest list.

Now we can add the new tree's code to the row's code:

```
Treecode = CONCAT
    {
        Treecode
        sep + Lastwidth 0 rmoveto
        treecode
    }
```

Then we update the row description. First we set Width to the distance from the top-left node of the row to the top-left node of the next tree (Width+sep) and we set sep to the distance from the top-right node of the previous tree to the top-right node of the next tree (sep+width), because these quantities are used in the calculations of the other row variables. At the end, we set Width to the actual width of the row (Width+width).

```
Width
         = Width + sep
sep
         = sep + width
Lastwidth = width
Leftprofile = BIMAX { Leftprofile , leftprofile - Width }
Rightprofile = BIMAX { Rightprofile - sep , rightprofile }
   Numlevels < numlevels
IF
THEN Leftbase = Leftbase - Width
FT
Rightbase = IF Numlevels > numlevels
           THEN Rightbase - sep - width
           ELSE rightbase
           FΙ
         = MAX { Height , height }
Height
Depth
         = MAX { Depth , depth }
Leftsize = MAX { Leftsize , leftsize - Width }
Rightsize = MAX { Rightsize - sep , rightsize }
```

```
Rootnodes = CONCAT { Rootnodes , rootnodes }
IF center = NULL
ELSE Center = center + Width
FI
IF
   Numlevels < numlevels OR ( Numlevels = numlevels
         AND NOT centerbase = NULL )
THEN Centerbase = centerbase + Width
FΙ
IF
    Numlevels < numlevels
THEN Basenodes = basenodes
ELSE IF Numlevels = numlevels
    THEN Basenodes = CONCAT { Basenodes , basenodes }
    FI
FΙ
Numlevels = MAX { Numlevels , numlevels }
Levelsizes = BIMAX { Levelsizes, levelsizes }
        = Width + width
Width
```

The updating that depends on Numlevels and numlevels can be summarized:

```
TF
    Numlevels < numlevels
THEN Leftbase = leftbase - Width
    Centerbase = centerbase + Width
    Rightbase = rightbase
    Basenodes = basenodes
    Cumlevelsep = cumlevelsep
ELSE IF Numlevels = numlevels
    THEN Basenodes = CONCAT { Basenodes , basenodes }
        Rightbase = rightbase
         IF centerbase = NULL
         ELSE Centerbase = centerbase + Width
         FI
    ELSE Rightbase = Rightbase - sep
    FΙ
FΙ
```

Nodes are treated in the same way. A node is a trivial tree. It is completely described by its nodeleftsize (distance from the center to the left side of the bounding box), noderightsize, nodeheight, nodedepth and name. Here is the value of all the tree object variables in terms of the leftsize, rightsize, height, depth and name:

```
treecode = {~node~}
         = 0
width
leftprofile = {nodeleftsize}
rightprofile = {noderightsize}
leftbase = 0
rightbase = 0
center = NULL
centerbase = NULL
height = nodeheight
depth
       = nodedepth
leftsize = nodeleftsize
rightsize = noderightsize
rootnodes = {name}
basenodes = {name}
cumlevelsep = 0
```



Figure 3: Aligning rows in vertical mode.

numlevels = 1
levelsizes = {height,depth}

## 15. Vertical mode

Here is the description of vertical mode. We also add rows one at a time, updating the description of the tree each time. Each row is just a tree object, and a partially completed tree is just a tree object. Therefore, the problem of joining rows is just the canonical problem of stacking two tree objects.

The description variables of the row begin with capital letters, and so we revert to uncapitalized names for the description variables of the tree.

When adding the first row, we simply have to initialize the tree's variables, setting treecode=Treecode, etc.

To add the subsequent rows, we first have to find the horizontal displacement of the top-left node of the next row from the top-left node of the tree. We chose this displacement so that the centerbase" of the tree is aligned with the \verbCenter| of the row, as shown in Figure 3.

First, calulate centerbase and Center if these are NULL:

```
IF centerbase = NULL
THEN centerbase = ( width + rightbase - leftbase ) / 2
FI
IF Center = NULL
THEN Center = width / 2
FI
```

Then set sep to the horizontal distance between the top-left nodes of the tree and row:

sep = centerbase - Centerbase

Next we calculate the vertical displacement. Each time we add a row, the current point ends up at the top-left node of the last row. We save the Cumlevelsep of the last row as lastcumlevelsep. The distance from the bottom level of the tree and the top level of the next row is the canonical distance between levels, levelsep, which is a parameter. Hence, the total displacement is

```
lastcumlevelsep + levelsep
```

Thus, we add the new row's code to the tree's code with:

```
treecode = CONCAT {
    treecode
    sep lastcumlevelsep+levelsep rmoveto
    Treecode
}
```

Now we have to update the description. At first, cumlevelsep is set to the distance from the top level of the tree to the top level of the next row (cumlevelsep + levelsep) and rightsep is set to the horizontal distance from the top-right node of the tree to the top-right node of the next row (sep + Width - width), because these are used when updating the other variables. At the end, cumlevelsep is set to the actual cumlevelsep (cumlevelsep + Cumlevelsep).

```
cumlevelsep = cumlevelsep + levelsep
lastcumlevelsep = Cumlevelsep
          = sep + Width - width
riahtsep
leftprofile = CONCAT { leftprofile , Leftprofile - sep }
rightprofile = CONCAT {
                  rightprofile ,
                  Rightprofile + rightsep )
             }
leftbase
           = Leftbase - sep
rightbase = Rightbase + rightsep
centerbase = IF Centerbase=NULL
             THEN NULL
             ELSE Centerbase - sep
            FI
           = MAX { height , Height - cumlevelsep }
height
depth
           = MAX { depth , Depth + cumlevelsep }
leftsize
           = MAX { leftsize , Leftsize - sep }
rightsize = MAX { rightsize , Rightsize + rightsep }
rootnodes = rootnodes
numlevels = numlevels + Numlevels
levelsizes = CONCAT { levelsizes , levelsep , Levelsizes }
cumlevelsep = cumlevelsep + Cumlevelsep
```

## 16. Bells and whistles

e also need to keep track of the list of nodes in the tree object, and the coordinates of the nodes. We can measure the coordinates relative to the top-left node. Then when we join two tree objects, we find the top-left node of the new object, join the lists of nodes, and update the coordinates with respect to the top-left node. This is simplified by the fact that once a tree object has been formed, the relative position of the nodes within that object does not change when the object is nested inside another tree object.

I have so far described the algorithm assuming that the objects in a row are joined from left to right, and then the rows are stacked from top to bottom, and I will continue to use this convention throughout. However, the algorithm is the same when the tree objects grow in different directions; all that differs in pst-tvz is how one joins tree objects. For example, after calculating the distance between the top-left nodes of two tree objects, do we position the second object below, to the right, above or to the left of the first object?

pst-tvz uses a key=value system for controlling the algorithm. Keys are called *parameters*. Here are the parameters that control the direction in which the tree is constructed:

*treemode* The treemode is the direction in which trees grow (in which rows are stacked). The value is stored as an integer:

```
down -> 0
right -> 1
up -> 2
left -> 3
```

In vertical trees (treemode is even), the rows are horizontal. In horizontal trees (treemode is odd), the rows are vertical.

*treeflip* treeflip is a boolean that sets the direction in which rows are constructed. When false, the horizontal rows of vertical trees are constructed from left to right (in the order in which objects appear in the input file), and the vertical rows of horizontal trees are constructed from top to bottom. When true, the rows of vertical trees are constructed from right to left, and the rows of horizontal trees are constructed from top.

For example:



```
\psTree[treemode=R,treeflip=true,nodesep=3pt]
  \Tc{3pt} \\
  \Tr{First} \Tr{Second} \Tr{Third}
  \endpsTree
```

There are several methods for setting this distance.

If the "treesep\*" parameter has been set, then

sep = treesep\*

That is, the spacing between the centers of the nodes (and hence between edges) is fixed.

Otherwise, if the treefit parameter equals tight,

If instead treefit=loose , the distance between the tree objects' bounding boxes be treesep. I.e.,

sep = MAX { Rightprofile } + MAX { leftprofile } + treesep

In summary:

```
sep = IF treesep* = NULL
THEN IF treefit = tight
THEN MAX { Rightprofile ++ leftprofile } + treesep'
ELSE MAX { Rightprofile } + MAX { leftprofile } + treesep
FI
ELSE treesep*
FI
```

If both objects have more than one level, then increase sep by xtreesep:

```
IF Numlevels > 1
THEN IF numlevels > 1
THEN ADVANCE sep BY xtreesep
FI
FI
```

Positive values of xtreesep can be used to highlight the structure of the trees.

Finally, if the user inserts

 $\dtreesep{~dim~}$ 

before a tree object, then dim is saved in the addtreesep variable, and we add this to sep:

IF addtreesep = NULL ELSE ADVANCE sep BY addtreesep FI

A node calculates its leftsize, rightsize, height, depth and name, and then invokes \node@makecanonical@tree, which does the assignment given above.

The assignment actually depends on the orientation of the row, because the node calculates its dimensions for an upright orientation. That is, the assignment given above is correct if the row is part of a horizontal tree that grows down and if the row adds objects from left to right.

Here is the general assignment of leftprofile, rightprofile, height and depth:

pth = IFCASE Treemode		height	= IFCASE Treemode nodeheight OR leftsize OR nodedepth OR rightsize
Ilogedebili	OR rightsize		OR rightsize FI = IFCASE Treemode

```
FI
leftsize = IFODD Treemode
           THEN IF Treeflip=TRUE
               THEN nodedepth
               ELSE nodeheight
               FΙ
           ELSE IF Treeflip=TRUE
               THEN rightsize
               ELSE leftsize
               FΙ
           FI
rightsize = IFODD Treemode
           THEN IF Treeflip=TRUE
               THEN nodeheight
               ELSE nodedepth
               FΙ
           ELSE IF Treeflip=TRUE
               THEN leftsize
               ELSE rightsize
               FI
           FI
leftprofile = { leftsize, }
rightprofile = { rightsize, }
```

However, if the treenodesize is set, then the profile are set using this value as half the "width" of the node. That is:

```
IF treenodesize = NULL
THEN leftprofile = { leftsize, }
    rightprofile = { rightsize, }
ELSE leftprofile = { treenodesize, }
    rightprofile = leftprofile
FI
```

Tree objects whose orientation is different from the row are given special treatment. If the object has the same direction, but a different flip, then we simply swap the left and right profiles, and related items:

```
Treemode = treemode
IF
THEN IF Treeflip = treeflip
    ELSE temp
                 = leftprofile
        leftprofile = rightprofile
        rightprofile = temp
        temp
                  = leftbase
        leftbase = rightbase
         rightbase = temp
        temp
                  = leftsize
        leftsize = rightsize
        rightsize = temp
                  = IF center = NULL
        center
                    THEN NULL
                    ELSE width - center
                    FI
        centerbase = IF centerbase = NULL
                   THEN NULL
                    ELSE width - centerbase
```

FI FI FI

If the tree objects has a different direction, then we treat the object like a node, centered at the center of its top level

```
IF Treemode = treemode
ELSE tree@makecanonical@node
node@makecanonical@tree
FI
```

Here is the definition of tree@makecanonical@node:

```
IF
    center = NULL
THEN center = width / 2
FI
IF
    center = 0
ELSE SETBOX box =
    IFODD treemode
    THEN VBOX TO 0 BEGINBOX VSS
    ELSE HBOX TO 0 BEGINBOX HSS
    FT
         BOX box
         KERN IF Treeflip = TRUE THEN - FI center
    ENDBOX
FI
IF
    treeflip = TRUE
THEN tempa = rightsize + width - center
    tempb = leftsize + center
ELSE tempa = leftsize + center
    tempb = rightsize + width - center
FT
IFODD treemode
THEN nodeheight = tempa
    nodedepth = tempb
ELSE leftsize = tempa
    rightsize = tempb
FΙ
IFCASE treemode
     nodeheight = height
     nodedepth = depth
0R
     leftsize = height
     rightsize = depth
0R
     nodeheight = depth
     nodedepth = height
0R
     leftsize = depth
     rightsize = height
FI
```

We increase "sep" by treeshift:

ADVANCE sep BY treeshift

Now we insert levelsep between the trees, move the row by sep, and add an extra space of Cumlevelsep so that the total size of the the tree is the same as cumlevelsep.

With vertical trees we do this in a vtop and in horizontal trees we do this in an hbox. I.e.,

```
IFODD treemode
THEN HBOX
    {
         UNHBOX box
         IF treeflip = TRUE
         THEN KERN - levelsep
             LOWER sep BOX hbox
             KERN - Cumlevelsep
         ELSE KERN levelsep
             RAISE sep BOX hbox
             KERN Cumlevelsep
         FI
    }
ELSE VTOP
    {
         UNVBOX box
         IF treeflip = TRUE
         THEN KERN - levelsep
             MOVELEFT sep BOX hbox
             KERN - Cumlevelsep
         ELSE KERN levelsep
             MOVERIGHT sep BOX hbox
             KERN Cumlevelsep
         FI
    }
FΙ
```

## **17. The PSTricks implementation**

In pst-tvz, we can let  $T_EX$  keep track of nodes and node coordinates internally. We store each tree object in a  $T_EX$  box with zero size, such that the current point is at the center of the top left node. We create a new tree object in a  $T_EX$  box, inserting space between the component objects.

With  $T_EX$ , we construct the row for both vertical and horizontal trees using an \hbox. In an \hbox, we can insert horizontal space to separate tree objects in a horizontal row, and we can lower or raise objects below or above to baseline to separate tree objects in a vertical row. For horizontal rows (vertical trees), the current insertion point is thus at the top-left node of the last object, and so we also need to know the width of this object. This value is stored in wsep after adding a tree object and then wsep is set to the total distance between the top-left node of the last object and the top-left node of the current object. In vertical rows (horizontal trees). the current insertion point is at the top-left node of the row, and so we also need to know the width of the current row, but this is already stored in Width. We set wsep to the total distance between the top-left node of the new object.

```
IFODD treemode
THEN wsep = Width + sep
ELSE wsep = wsep + sep
```

F	Ι

We add the space and the tree object

IFODD treemode THEN LOWER wsep ELSE KERN wsep FI BOX box

and update the row description:

## 18. Examples

Here is how this information is used to position the successors. First, all terminal nodes are treated as single-level trees. Thus, the canonical successor is a subtree (that has the same orientation as the parent tree). The successors are positioned so that their centers line up horizontally. How the distance between successors is calculate depends on the values of two parameters:

- When "treefit=tight", the subtrees are positioned so that the minimum distance between levels is "treesep". This is calculated by adding the right profile of the current group of successors (this profile is with respect to the center of the right-most successor) to the left profile of the new successor item by item, finding the maximum of the resulting list, and then adding "treesep".
- When treefit=loose, the subtrees are positioned so that the distance between their bounding boxes is treesep.
- When also treenodesize is non-negative, the top level of each subtrees is given a width of <dim>, for the purpose of fitting the subtrees together.

After the row of successors is constructed, and its profiles, height and depth are calculated, the root object is positioned above the row of successors so that the center of the root object is centered between the centers of the first and last successors (although this can be modified). The distance between the root object and the row of successors is levelsep. The profiles, height and depth of the resulting tree are calculated from the dimensions of the root object and the dimensions of the root object and the dimensions of the row of successors.

The treemode parameter determines the direction in which the tree grows, and the treeflip parameter determines the direction in which successors are added. The values of these parameters together are called the tree's orientation. The terminology used above is for trees with the default orientation: treemode=D and treeflip=false (the tree grows down and successors are added from left to right). However, the logical structure of a tree does not depend on its orientation, and so we can use the same terminology and accounting system for all trees. Here is the correspondence between

- For a vertical tree that grows up, successors are also added from left to right, and so the profiles are as described above (although "top" levels are physically at the bottom of the tree). The "height" and "depth" of tree is the distance from the center to the physical bottom and top, respectively, of the bounding box.
- For a horizontal tree, successors are added from top to bottom. The "left" profiles are the physical top profiles, and the "right" profiles are the physical bottom pro-

files. The "height" and "depth" of a horizontal tree that grows to the right are the distances from the center of the tree to the left and right sides, respectively, of its bounding box. The opposite holds if the tree grows to the left.

• If treeflip=true, then successors are added in the opposite direction, and so the left and right profiles are switched. "Right" always refers to the direction in which new successors are added.

A root node can actually be a subtree, and a subtree can have a different orientation from its parent. Here is how we deal with these special cases:

The canonical root object is a node. Trees are converted to this canonical root object by calculating their bounding box, and thereby determining their height, width, left and right sizes.

The canonical successor is a subtree that has the same orientation as the parent tree. Nodes and trees that grow in other directions are converted to this canonical successor by treating them as single level trees. That is, the left profile is just the left size of the node or tree (with respect to the orientation of the parent tree), and the right profile is the right size of the node or tree.

In addition to being a root or successor of a tree, a tree object can be unnested, or "outer". The canonical outer object is a node, and it is made into a box whose dimensions are the size of the node. Trees are converted to this canonical outer. Hence, when a tree is outer, we only need to remember store its bounding box, and can forget about its profile.

A subtree that grows in the same direction (which is weaker than having the same orientation) is called a *proper* subtree. All other trees—outer, root, or subtrees that change directions—are not proper.

The three places a tree object can be found—root, successor or outer—are called *modes*. By an unfortunate historical accident, the directions trees grow—down, up, right and left—are also called modes. However, this should not cause confusion in the code.

The programming implementation of this algorithm is modular. Tree objects, which are either nodes or (sub)trees, save their dimensions in designated registers and commands. Then they invoke

```
\ps<object_type>@makecanonical
```

<object\_type> is node or tree. This translates the object's dimensions into dimensions of a canonical object for the current mode. Then the object invokes

\ptr@build

which positions the box (\ptr@box) containing the object, also depending on the current mode.

Here are the registers and commands that a tree object must set before invoking \ps<object\_type>@makecanonical and \ps<object\_type>@build:

Nodes These are all dimension registers, and should not be set globally.

\pst@dima left size
\pst@dimb right size
\pst@dimc height
\pst@dimd depth

Trees \PTR@height and \PTR@depth are count registers, measuring sp units. The others are lists. These are set globally, so that a tree can use these commands and registers while it is being constructed. However, changes are actually kept local with respect to the structure of trees because he values in effect when the tree is started are restored at the end of the tree.

\PTR@height	height	
\PTR@depth	depth	
\PTR@leftprofile	left profile	11
\PTR@rightprofile	right profile	
\PTR@levelsizes	level size	
\PTR@leftprofile \PTR@rightprofile	left profile right profile	1

The \ps<object\_type>@makecanonical commands translate the values stored in the commands and registers listed above, and assign the results to the following commands and registers, for use by \ps<object\_type>@build:

*Outer mode* Outer objects use \pst@dima, \pst@dimb, \pst@dimc and \pst@dimd, like nodes. These dimensions refer to the physical dimensions. I.e., they do not depend on the orientation of the object.

	\psroot@leftsize	left size
<i>Root mode</i> These are all commands.	\psroot@rightsize	right size
Noot mode These are an commands.	\psroot@height	height
	\psroot@depth	depth

Successor objects \ptr@height and \ptr@depth are counters, and the remaining are lists.

\ptr@height	height	
\ptr@depth	depth	
\ptr@leftprofile	left profile	11
\ptr@rightprofile	right profile	
\ptr@levelsizes	level size	
\ptr@levelsizes	level size	

Except for \pst@dima, etc., used for the dimensions of nodes, root and outer objects, all values are stored as integers, giving the distance in sp units. Much of the accounting is done using counters and sp units, because this is more efficient and because counters are not quite as scarce as dimension registers.

When a subtree is made canonical, we need to know the orientation of both the subtree and the parent tree. We use \psk@Treemode and \if@Treeflip to keep track of the orientation of the parent tree. These are set by the parent tree when it begins to process the row of successors. This information is not needed by root objects.

When the value of the levelsep parameter is preceded by \*, the size of the levels is taken into account when setting the distance between levels. This information is only known after the tree has been constructed, because levels extend beyond the recursive structure of the trees. That is, the distance between levels of one subtree will depend on the distance be levels of other (disjoint) subtrees. Therefore, we write this information to an auxilary file, to be read the next time the main input file is processed. Each level in a tree must have a unique identifier, so that a subtree can find the distance between levels by looking up the value for its tree and level. The count register \ptr@ID is used to indentify trees, and the count register \ptr@levelID is used to identify the levels in a tree.

The logical compenents of a tree do not coincide with the physical components when subtree appears as a root tree, or as a successor to a parent with a distinct orientation. E.g., there is no point in trying to synchronize the distance between levels of two subtrees that grow in different directions. Hence, in each of these cases, the  $\treeID$  is advanced so that for the purpose of determining the distance between levels, the subtree has a different indentifier from its parents. For a subtree that appears as a successor, the identifier should be changed when  $\treeMet$ 

Because the value of \ptr@ID can change globally within a tree, a tree's identifier is saved as \ptr@id immediately after \ptr@ID is incremented. \ptr@id is an ordinary command.

In addition to not relying on  $T_EX$  boxes to do all the accounting, we cannot rely on  $T_EX$  grouping to do keep values of certain commands and registers local. This is because the successors, which are in their own  $T_EX$  group, must communicate information to the parent tree as it constructs the row of successors (e.g., by modifying the parent tree's \PTR@height). We get around this by saving and restoring the values of certain commands and paramters just before and after processing the row of successors.

There are some special features whose implementation is incorporated into the makecanonical and build commands, for efficiency:

Adjust bounding boxes Bounding boxes are only adjusted for nodes or for a tree that is an outer or root object or that changes directions. Such trees are first made into nodes, via \ptr@makecanonical@outer, and it is at the end of this command that bounding box adjustment is invoked. The bounding box adjustment is invoked by nodes just before \psnode@makecanonical.

Show bounding boxes The show bounding box commands are invoked as follows:

- For nodes, just after the bounding box adjustment.
- For trees that invoke \ptr@makecanonical@outer, just after the bounding box adjustment.
- For subtrees that have the same treemode as their parent, at the beginning of the \ptr@makecanonical@succ command.
- Skip levels The commands for finding the amount of space to be skipped and the profiles of the skipped levels are invoked at the beginning of the tree macros, or in \psnode@makecanonical@succ. The adjustment of the box and profiles takes place in \ptr@build@succ.

# **19. List of all optional arguments for** pst-thick

Key	Туре	Default
treemode	ordinary	D
treeflip	boolean	true
root	ordinary	\TC
treesep	ordinary	0.75cm
thistreesep	ordinary	[none]
xtreesep	ordinary	0.75cm
thisxtreesep	ordinary	[none]
treenodesize	ordinary	-1pt
thistreenodesize	ordinary	-1pt
treefit	ordinary	tight
thistreefit	ordinary	tight
treerep	ordinary	1
bbl	ordinary	[none]
bbr	ordinary	[none]
bbh	ordinary	[none]
bbd	ordinary	[none]
xbbl	ordinary	[none]
xbbr	ordinary	[none]
xbbh	ordinary	[none]
xbbd	ordinary	[none]
showbbox	boolean	true
levelsep	ordinary	2cm
thislevelsep	ordinary	[none]
varlevelsep	boolean	true
treeshift	ordinary	Θ
skiplevels	ordinary	0
unary	ordinary	middle
thisunary	ordinary	middle
leafalign	ordinary	true
edge	ordinary	\ncline
skipedge	ordinary	
fansize	ordinary	lcm
tnsep	ordinary	
tnyref	ordinary	
tnheight	ordinary	\ht \strutbox
tndepth	ordinary	\dp \strutbox
tnpos	ordinary	

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